



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/728,441	12/01/2000	Wen-mei W. Hwu	42302/0269900 ITI-001(U)

CONFIRMATION NO. 3226

## FORMALITIES LETTER



\*OC000000005707504\*

PILLSBURY MADISON & SUTRO LLP  
Ninth Floor, East Tower  
1100 New York Avenue, N.W.  
Washington, DC 20005-3918

Date Mailed: 01/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/03/2001 FFANAEIA 00000026 09728441

FILED UNDER 37 CFR 1.53(b)

01 FC:201 355.00 DP  
02 FC:202 80.00 DP  
03 FC:203 270.00 DP  
04 FC:205 65.00 DP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$700.
  - \$540 for 30 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1540.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at



least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

A handwritten signature, possibly "MØ", written in ink.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

Sector \$  
#3

PATENT  
APPLICATION

PATENT APPLICATION of

Attn: Application Division

Inventors **HWU et al.**

Appln. No.: 09/728,441

Filed: December 1, 2000

Title: **"Method and Apparatus for Modulo Scheduled Loop Execution In A Processor Architecture"**

Atty. Dkt.	0423022	0269900
	Client #	Matter #
(Our Deposit Account No.	03-3975)	
(Our Order No.	0269900	ITI-001(u)
	TMC#	Client Ref

Date: March 26, 2001

Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy enclosed ☐ not yet received
2. ☒ Signed Declaration enclosed ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded assignment to the undersigned.
4. ☒ Eleven (11) sheets of substitute drawings (Figs. 1A-10C).
5. Attorney for the Applicants hereby assert qualification for Small Entity Status.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

5. Basic Filing Fee .....	\$710/355	\$ 355.00	101/201
6. Total Claims	50 minus 20 = 30	x \$18/\$09 + 270.00	103/203
7. Independent Claims	5 minus 3 = 2	x \$80/\$40 + 80.00	102/202
8. Surcharge for filing Declaration/filing fee late	\$130/\$65	+ 65.00	105/205
9. FILING FEE ENCLOSED =	\$ 770.00		
10. Original due date:			
11. If "assignment" box is X'd, add recording fee .....	\$40	+ 40.00	581
12. TOTAL FEE ENCLOSED: =	\$ 810.00		

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed. This charge statement does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

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**PILLSBURY WINTHROP, LLP**  
By: **Mark J. Danielson**, Reg. No. 40,580

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on , March 26, 2000.

XXXXXXXXXXXX Conchita Picazo